

Wells Fargo timeline:

1. Nicole “Nikki” Fried filed for Commissioner of Agriculture on June 6, 2018
 - Account opened with Wells Fargo on June 13, 2018
2. Initial inquiry sent by WF July 11, 2018

From: Infante, Antoinette
Sent: Wednesday, July 11, 2018 11:18 AM
To: 'Gloria Maggiolo' <gloria@bffcompliance.com>
Cc: Uscategui, Sonia M. <sonia.uscategui@wellsfargo.com>
Subject: NIKKI FRIED FOR FLORIDA
Importance: High

Hi Gloria – hope all is well!

As part of the onboarding of the client it was uncovered some information regarding the customers political platform and that they are advocating for expanded patient access to medical marijuana. Per our escalation path we need to confirm the following:

Can you confirm the types of transactions expected for this customer & if any of the transactions will include funds received from lobbyists from the medical marijuana industry in any capacity?

Thanks Gloria!

Antoinette Infante
Senior Relationship Manager, Vice-President
Business Banking
Wells Fargo Bank, N.A. | [1699 Coral Way | Miami, FL 33145](#)
MAC Z6183-050
Tel 786-725-5447 | Cell 786-385-1458 | Fax 786-725-5498
antoinette.infante@wellsfargo.com

The information contained in the electronic message is confidential, proprietary, and intended only for the use of the owner of the e-mail address listed as the recipient of this message. If you are not the intended recipient, or the employee or agent responsible for delivering this message to the recipient, you are hereby notified that any disclosure, dissemination, distribution, copying of this communication, or unauthorized use is strictly prohibited and subject to prosecution to the fullest extent of the law. DO NOT ACT UPON, FORWARD, COPY OR OTHERWISE DISSEMINATE IT OR IT'S CONTENTS.

From: antoinette.infante@wellsfargo.com <antoinette.infante@wellsfargo.com>
Sent: Thursday, July 12, 2018 4:40 PM
To: Gloria Maggiolo <gloria@bffcompliance.com>
Cc: sonia.uscategui@wellsfargo.com
Subject: RE: NIKKI FRIED FOR FLORIDA

Hi Gloria!

Please let me know on this...

Thanks!

Antoinette Infante
Senior Relationship Manager, Vice-President
Business Banking
Wells Fargo Bank, N.A. | [1699 Coral Way | Miami, FL 33145](#)
MAC Z6183-050
Tel 786-725-5447 | Cell 786-385-1458 | Fax 786-725-5498
antoinette.infante@wellsfargo.com

The information contained in the electronic message is confidential, proprietary, and intended only for the use of the owner of the e-mail address listed as the recipient of this message. If you are not the intended recipient, or the employee or agent responsible for delivering this message to the recipient, you are hereby notified that any disclosure, dissemination, distribution, copying of this communication, or unauthorized use is strictly prohibited and subject to prosecution to the fullest extent of the law. DO NOT ACT UPON, FORWARD, COPY OR OTHERWISE DISSEMINATE IT OR IT'S CONTENTS.

3. Campaign response July 17, 2018

From: Gloria Maggiolo
Sent: Tuesday, July 17, 2018 5:49 PM
To: 'antoINETte.infante@wellsfargo.com' <antoINETte.infante@wellsfargo.com>
Cc: sonia.uscategui@wellsfargo.com
Subject: RE: NIKKI FRIED FOR FLORIDA

Hi Antoinette,
Sorry for the delay, below is the response I got from the campaign side on the questions sent last week...

I've never received a request of this nature from a financial institution. Nevertheless, I will address the inquiry...

The types of transactions expected for the Nikki Fried campaign are the same as any other campaign committee operating under all applicable IRS rules, FEC regulations, and Florida elections law. Contributions will be, and have been, received by individuals and entities, who are not foreign nationals, in amounts not to exceed \$3000 for the Primary Election and \$3000 for the General Election. Expenditures will be, and have been, made for purposes such as staff, payroll tax, rent, printing, postage, video production etc.

Contributions will be, and have been, received from lobbyists for the medical marijuana industry, as well as from executives, employees and corporations in the medical marijuana industry. Though she recently withdrew her registration, the candidate, Ms. Fried, was herself a lobbyist for the medical marijuana industry.

Please let us know if any of this is going to be a problem.

Best regards,

Gloria Maggiolo
www.bffcompliance.com



4. Phone call from WF terminating August 3, 2018

5. Campaign inquiry to WF August 5, 2018

From: Gloria Maggiolo <gloria@bffcompliance.com>
Sent: Monday, August 6, 2018 11:33 AM
To: Infante, Antoinette <antoINETte.infante@wellsfargo.com>; Uscategui, Sonia M. <sonia.uscategui@wellsfargo.com>
Cc: Matthew Gotha <mattgotha@gmail.com>
Subject: Closing Account

Goodmorning Antoinette/Sonia,
Just wanted to bring Matthew into the loop on our conversation from Friday. As I had mentioned, I was dealing with a filing deadline and didn't really get the opportunity to explain the situation to him. Please correct me if I am mistaken on any of below.

After further background check from Wells Fargo's Escalation Dept, they have determined that due to the candidate's support on the medical marijuana issue we will need to close our accounts at WF effective immediately.

Antoinette, Please advise how long we have until the accounts are closed. We will need to file paperwork with the state advising of the bank change as well as get new accounts opened so it may take a day or two to make this happen. Also, I've been asked to get a formal termination request from WF. Let me know if you have something from WF Corporate with their determination.

Thank you,

Gloria Maggiolo
www.bffcompliance.com



6. WF email response August 6, 2018

From: antoINETte.infante@wellsfargo.com <antoINETte.infante@wellsfargo.com>
Sent: Monday, August 6, 2018 1:31 PM
To: Gloria Maggiolo <gloria@bffcompliance.com>; sonia.uscategui@wellsfargo.com
Cc: mattgotha@gmail.com
Subject: RE: Closing Account

Good afternoon Gloria – the notification letter was mailed out on Friday from our centralized unit. The details will be in the letter and should get to you in the next few days.

Thank you.

Antoinette Infante

Senior Relationship Manager, Vice-President

Business Banking

Wells Fargo Bank, N.A. | [1699 Coral Way | Miami, FL 33145](#)

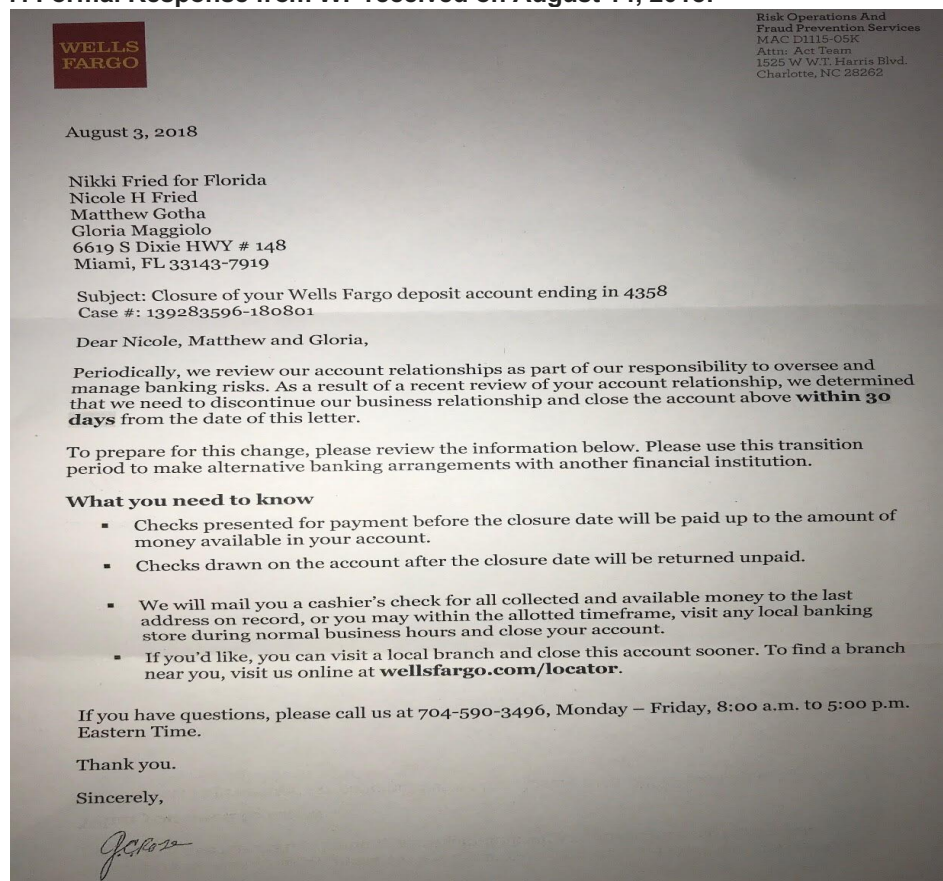
MAC Z6183-050

Tel 786-725-5447 | Cell 786-385-1458 | Fax 786-725-5498

antoINETte.infante@wellsfargo.com

The information contained in the electronic message is confidential, proprietary, and intended only for the use of the owner of the e-mail address listed as the recipient of this message. If you are not the intended recipient, or the employee or agent responsible for delivering this message to the recipient, you are hereby notified that any disclosure, dissemination, distribution, copying of this communication, or unauthorized use is strictly prohibited and subject to prosecution to the fullest extent of the law. DO NOT ACT UPON, FORWARD, COPY OR OTHERWISE DISSEMINATE IT OR ITS CONTENTS.

7. Formal Response from WF received on August 14, 2018:



8. Closure of campaign WF acct August 18, 2018